# A Transition-Based Directed Acyclic Graph Parser for Universal Conceptual Cognitive Annotation

Daniel Hershcovich, Omri Abend and Ari Rappoport

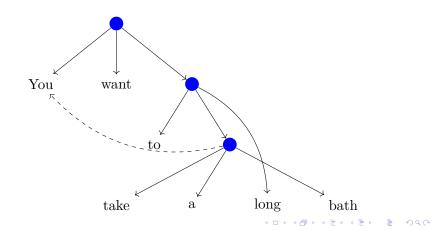


Tel Aviv University January 9, 2018

### TUPA — Transition-based UCCA Parser

The **first parser** to support the combination of three properties:

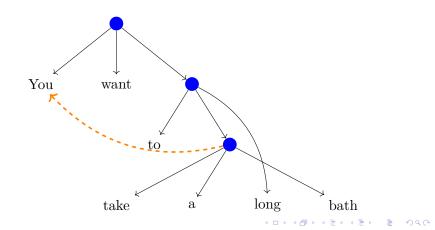
1. Non-terminal nodes — entities and events over the text



### TUPA — Transition-based UCCA Parser

The first parser to support the combination of three properties:

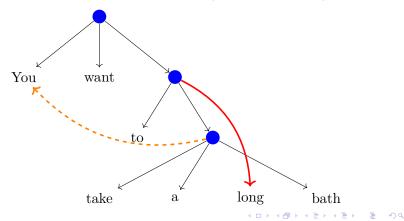
- 1. Non-terminal nodes entities and events over the text
- 2. Reentrancy allow argument sharing



### TUPA — Transition-based UCCA Parser

The first parser to support the combination of three properties:

- 1. Non-terminal nodes entities and events over the text
- 2. Reentrancy allow argument sharing
- 3. Discontinuity conceptual units are split
- needed for many semantic schemes (e.g. AMR, UCCA).



### Introduction

### Linguistic Structure Annotation Schemes

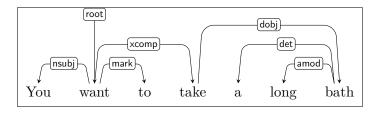
- Syntactic dependencies
- Semantic dependencies (Oepen et al., 2016)
- AMR (Banarescu et al., 2013)
- UCCA (Abend and Rappoport, 2013)
- Other semantic representation schemes<sup>1</sup>

Abstract away from syntactic detail that does not affect meaning:

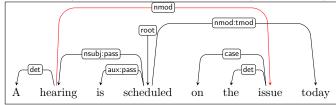
$$\dots$$
 bathed =  $\dots$  took a bath

### Syntactic Dependencies

- Bilexical tree: syntactic structure representation.
- Fast and accurate parsers (e.g. *transition-based*).



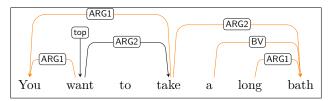
Non-projectivity (discontinuity) is a challenge (Nivre, 2009).



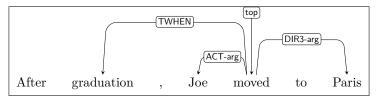
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### Semantic Dependencies

- Bilexical graph: predicate-argument representation.
- Derived from theories of syntax-semantics interface.



DELPH-IN MRS-derived bi-lexical dependencies (DM).



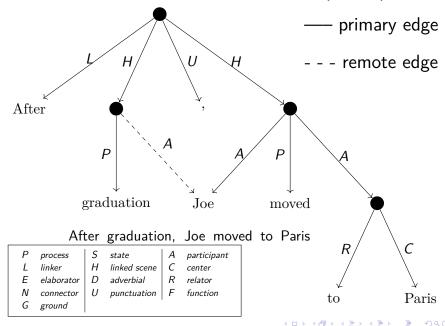
Prague Dependency Treebank tectogrammatical layer (PSD).

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### The UCCA Semantic Representation Scheme

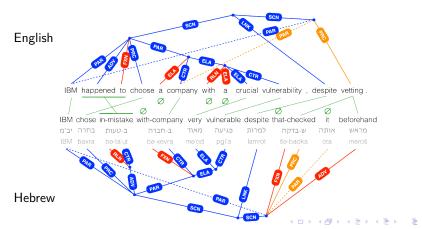
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### Universal Conceptual Cognitive Annotation (UCCA)



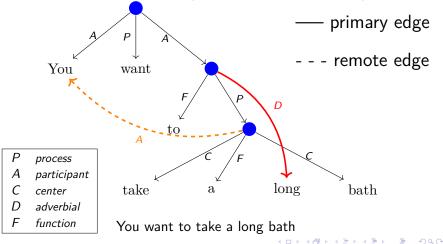
### The UCCA Semantic Representation Scheme

- Cross-linguistically applicable (Abend and Rappoport, 2013).
- Stable in translation (Sulem et al., 2015).
- Fast and intuitive to annotate (Abend et al., 2017).
- Facilitates MT human evaluation (Birch et al., 2016).



# Graph Structure

UCCA generates a directed acyclic graph (DAG). Text tokens are terminals, complex units are non-terminal nodes. *Remote edges* enable reentrancy for argument sharing. Phrases may be discontinuous (e.g., multi-word expressions).



# Transition-based UCCA Parsing

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### Transition-Based Parsing

First used for dependency parsing (Nivre, 2004). Parse text  $w_1 \dots w_n$  to graph *G* incrementally by applying transitions to the parser state: stack, buffer and constructed graph.

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### Transition-Based Parsing

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Initial state:

stack							buffer	
	You	want	to	take	a	long	bath	

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TUPA transitions: {SHIFT, REDUCE, NODE<sub>X</sub>, LEFT-EDGE<sub>X</sub>, RIGHT-EDGE<sub>X</sub>, LEFT-REMOTE<sub>X</sub>, RIGHT-REMOTE<sub>X</sub>, SWAP, FINISH}

Support non-terminal nodes, reentrancy and discontinuity.

 $\Rightarrow$  Shift

# stack buffer You long want to take bath $\mathbf{a}$ graph

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#### $\Rightarrow \operatorname{Right-Edge}_{\mathcal{A}}$

# stack buffer You take long bath want to $\mathbf{a}$ graph A You

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 $\Rightarrow$  Shift

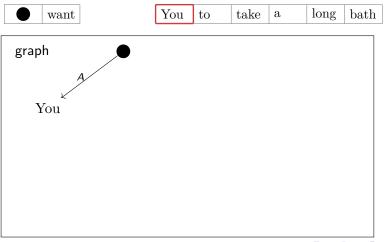
stack buffer You long want take bath  $\operatorname{to}$  $\mathbf{a}$ graph A You

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 $\Rightarrow$  Swap

#### stack

#### buffer



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#### $\Rightarrow$ Right-Edge<sub>P</sub>

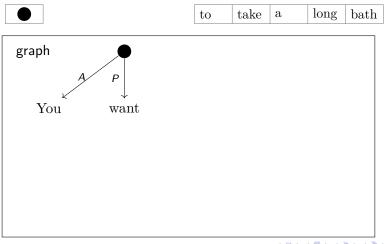
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#### $\Rightarrow$ Reduce

stack

buffer



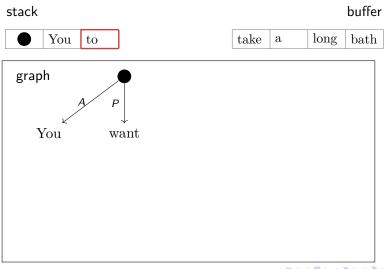
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 $\Rightarrow$  Shift

stack buffer You long take bath  $\operatorname{to}$  $\mathbf{a}$ graph Р You want

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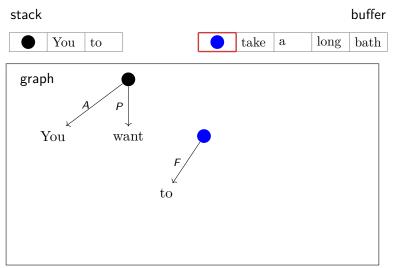
 $\Rightarrow$  Shift



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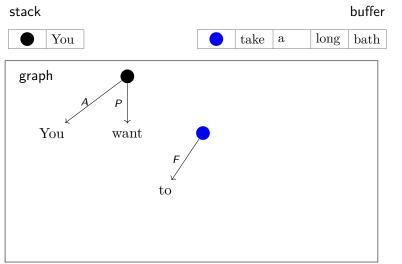
 $\Rightarrow \operatorname{NODE}_{\textit{F}}$ 



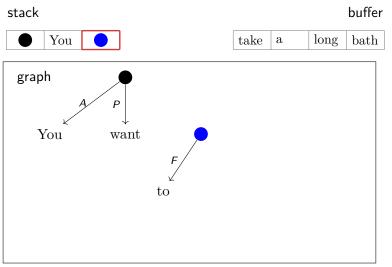
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#### $\Rightarrow$ Reduce

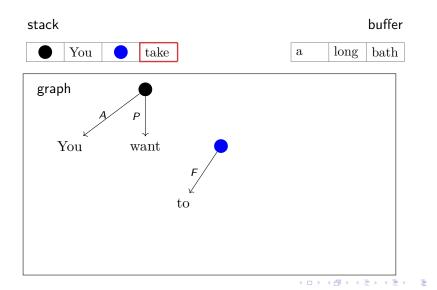


 $\Rightarrow$  Shift



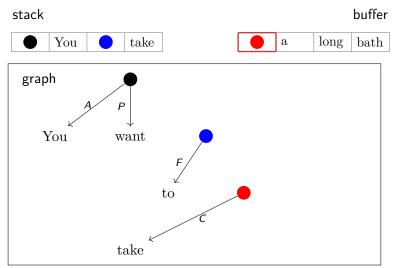
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 $\Rightarrow$  Shift



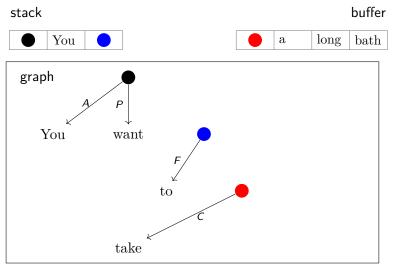
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 $\Rightarrow \operatorname{NODE}_{\mathcal{C}}$ 



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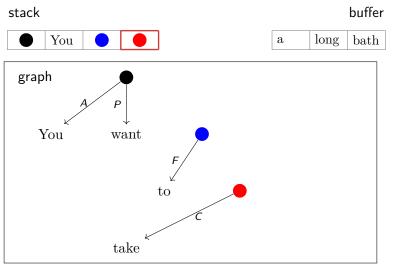




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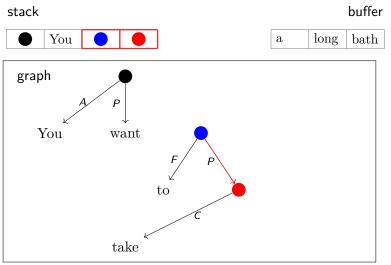
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 $\Rightarrow$  Shift



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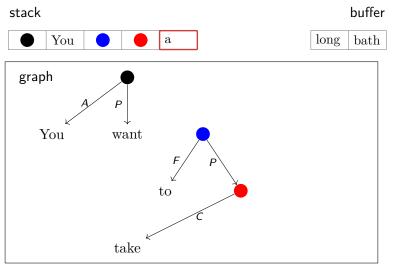
 $\Rightarrow$  Right-Edge<sub>P</sub>



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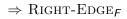
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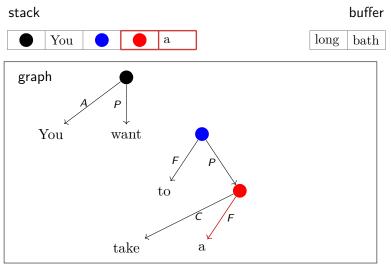
 $\Rightarrow$  Shift



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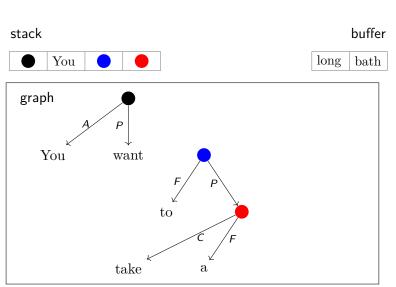
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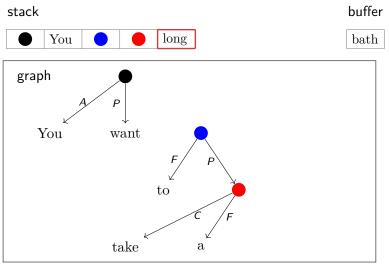
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 $\Rightarrow$  Reduce



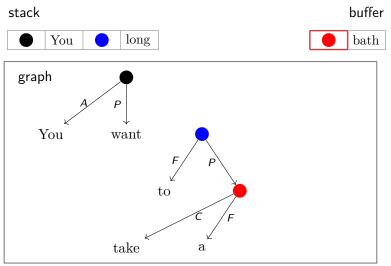
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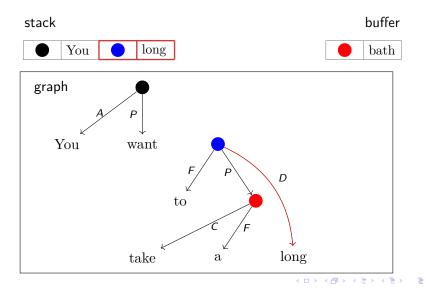
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 $\Rightarrow$  Swap



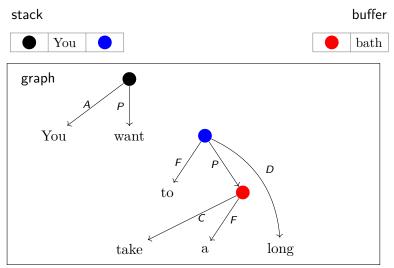
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 $\Rightarrow$  Right-Edge<sub>D</sub>



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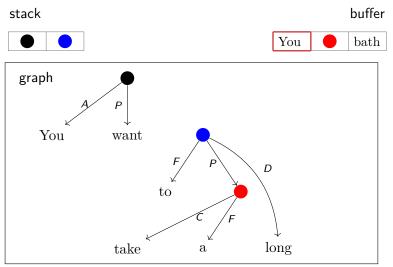




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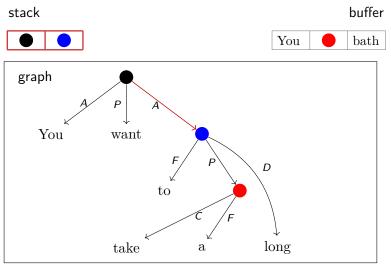
 $\Rightarrow$  Swap



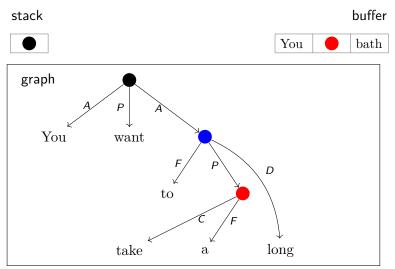
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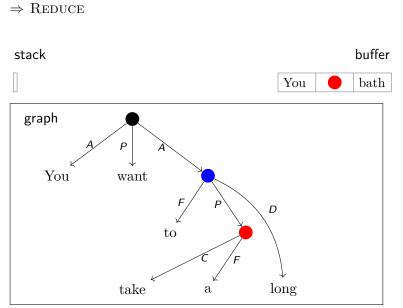
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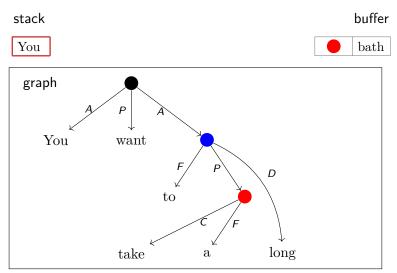
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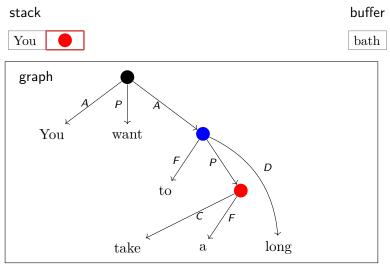
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 $\Rightarrow$  Shift



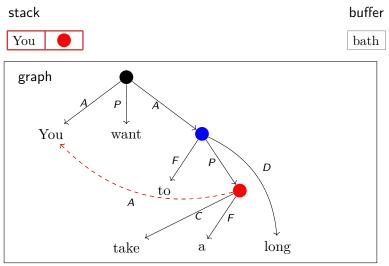
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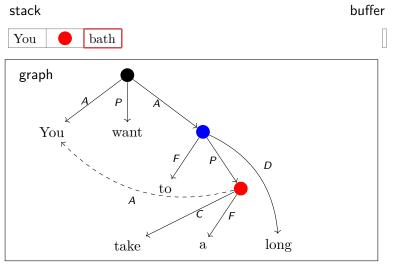
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#### $\Rightarrow \text{Left-Remote}_{\mathcal{A}}$

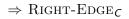


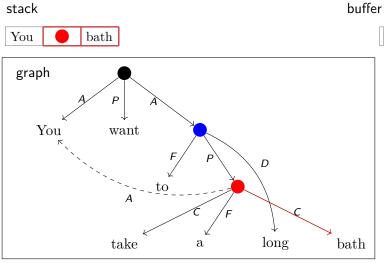
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 $\Rightarrow$  Shift



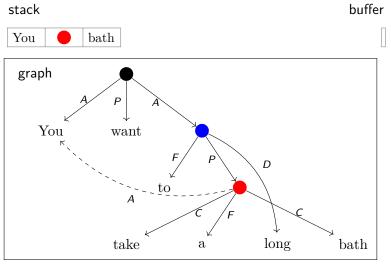
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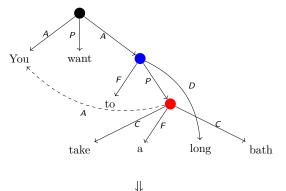
 $\Rightarrow$  Finish



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#### Training

An *oracle* provides the transition sequence given the correct graph:

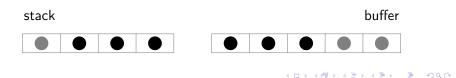


SHIFT, RIGHT-EDGE<sub>A</sub>, SHIFT, SWAP, RIGHT-EDGE<sub>P</sub>, REDUCE, SHIFT, SHIFT, NODE<sub>F</sub>, REDUCE, SHIFT, SHIFT, NODE<sub>C</sub>, REDUCE, SHIFT, RIGHT-EDGE<sub>P</sub>, SHIFT, RIGHT-EDGE<sub>F</sub>, REDUCE, SHIFT, SWAP, RIGHT-EDGE<sub>D</sub>, REDUCE, SWAP, RIGHT-EDGE<sub>A</sub>, REDUCE, REDUCE, SHIFT, SHIFT, LEFT-REMOTE<sub>A</sub>, SHIFT, RIGHT-EDGE<sub>C</sub>, FINISH

Learn to greedily predict transition based on current state. Experimenting with three classifiers:

Sparse	Perceptron with sparse features (Zhang and Nivre, 2011).
MLP	Embeddings + feedforward NN (Chen and Manning, 2014).
BiLSTM	Embeddings + deep bidirectional LSTM + MLP
	(Kiperwasser and Goldberg, 2016).

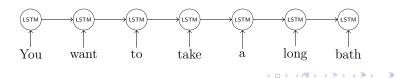
Features: words, POS, syntactic dependencies, existing edge labels from the stack and buffer + parents, children, grandchildren; ordinal features (height, number of parents and children)



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

SparsePerceptron with sparse features (Zhang and Nivre, 2011).MLPEmbeddings + feedforward NN (Chen and Manning, 2014).BiLSTMEmbeddings + deep bidirectional LSTM + MLP<br/>(Kiperwasser and Goldberg, 2016).

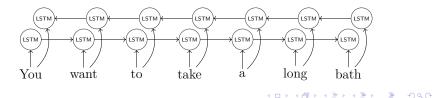
Effective "lookahead" encoded in the representation.



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

SparsePerceptron with sparse features (Zhang and Nivre, 2011).MLPEmbeddings + feedforward NN (Chen and Manning, 2014).BiLSTMEmbeddings + deep bidirectional LSTM + MLP<br/>(Kiperwasser and Goldberg, 2016).

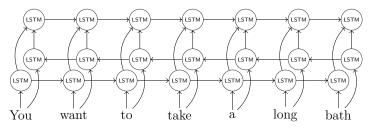
Effective "lookahead" encoded in the representation.



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

SparsePerceptron with sparse features (Zhang and Nivre, 2011).MLPEmbeddings + feedforward NN (Chen and Manning, 2014).BiLSTMEmbeddings + deep bidirectional LSTM + MLP<br/>(Kiperwasser and Goldberg, 2016).

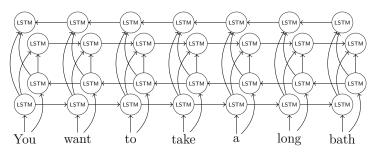
Effective "lookahead" encoded in the representation.

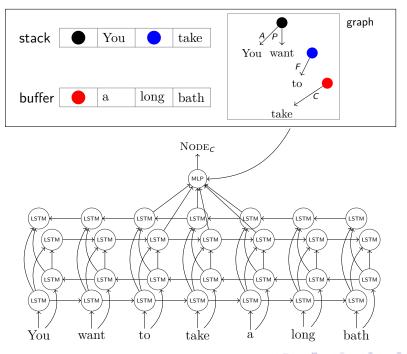


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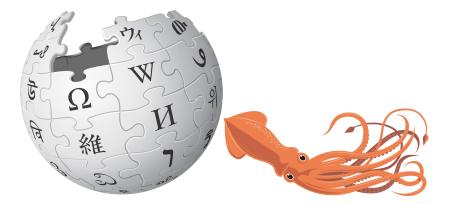
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#### Experiments

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#### Experimental Setup

- UCCA Wikipedia corpus  $\begin{pmatrix} \text{train} & \text{dev} & \text{test} \\ 4268 + 454 + 503 \text{ sentences} \end{pmatrix}$ .
- Out-of-domain: English part of English-French parallel corpus, *Twenty Thousand Leagues Under the Sea* (506 sentences).



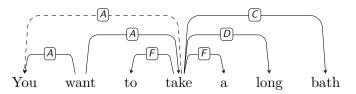
#### Baselines

No existing UCCA parsers  $\Rightarrow$  conversion-based approximation. Bilexical DAG parsers (allow reentrancy):

- DAGParser (Ribeyre et al., 2014): transition-based.
- TurboParser (Almeida and Martins, 2015): graph-based.

Tree parsers (all transition-based):

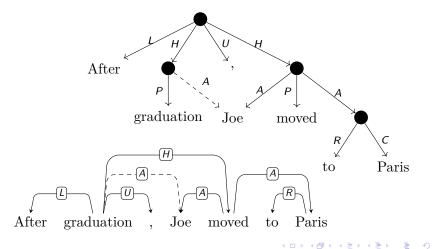
- MaltParser (Nivre et al., 2007): bilexical tree parser.
- Stack LSTM Parser (Dyer et al., 2015): bilexical tree parser.
- UPARSE (Maier, 2015): allows non-terminals, discontinuity.



UCCA bilexical DAG approximation (for tree, delete remote edges).

#### Bilexical Graph Approximation

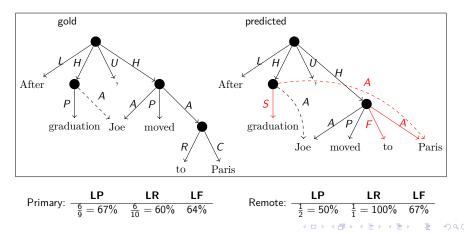
- 1. Convert UCCA to bilexical dependencies.
- 2. Train bilexical parsers and apply to test sentences.
- 3. Reconstruct UCCA graphs and compare with gold standard.



#### **Evaluation**

Comparing graphs over the same sequence of tokens,

- Match edges by their terminal yield and label.
- Calculate labeled precision, recall and F1 scores.
- Separate primary and remote edges.



#### Results

 $TUPA_{BiLSTM}$  obtains the highest F-scores in all metrics:

	Primary edges		Remote edges			
	LP	LR	LF	LP	LR	LF
TUPA <sub>Sparse</sub>	64.5	63.7	64.1	19.8	13.4	16
TUPA <sub>MLP</sub>	65.2	64.6	64.9	23.7	13.2	16.9
TUPA <sub>Bilstm</sub>	74.4	72.7	73.5	47.4	51.6	49.4
Bilexical DAG			(91)			(58.3)
DAGParser	61.8	55.8	58.6	9.5	0.5	1
TurboParser	57.7	46	51.2	77.8	1.8	3.7
Bilexical tree			(91)			-
MaltParser	62.8	57.7	60.2	-	-	_
Stack LSTM	73.2	66.9	69.9	_	_	_
Tree			(100)			_
UPARSE	60.9	61.2	61.1	-	_	_

Results on the Wiki test set.

#### Results

Comparable on out-of-domain test set:

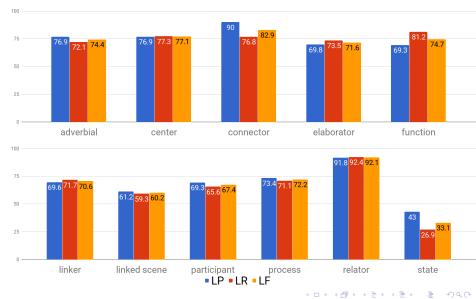
	Primary edges		Remote edges			
	LP	LR	LF	LP	LR	LF
TUPA <sub>Sparse</sub>	59.6	59.9	59.8	22.2	7.7	11.5
TUPA <sub>MLP</sub>	62.3	62.6	62.5	20.9	6.3	9.7
TUPA <sub>Bilstm</sub>	68.7	68.5	68.6	38.6	18.8	25.3
Bilexical DAG			(91.3)			(43.4)
DAGParser	56.4	50.6	53.4	-	0	0
TurboParser	50.3	37.7	43.1	100	0.4	0.8
Bilexical tree			(91.3)			_
MaltParser	57.8	53	55.3	-	_	-
Stack LSTM	66.1	61.1	63.5	-	-	_
Tree			(100)			-
UPARSE	52.7	52.8	52.8	-	-	_

Results on the 20K Leagues out-of-domain set.

#### Discussion

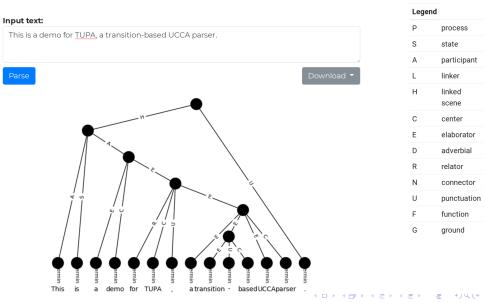
#### **Fine-Grained Analysis**

#### Evaluation of TUPA $_{\mbox{BiLSTM}}$ per edge type:



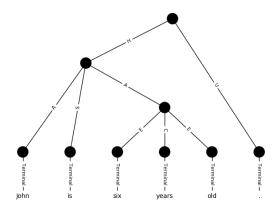
#### Online Demo

#### http://bit.ly/tupademo



#### Error Analysis

Copular clauses tend to be parsed as identity.



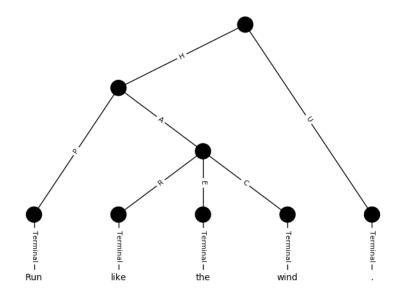
But, from the guidelines<sup>2</sup>:

$$\operatorname{John}_{A}\left[\operatorname{is}_{F}\left[\left[\operatorname{six}_{E}\operatorname{years}_{C}\right]_{E}\operatorname{old}_{C}\right]_{C}\right]_{S}$$

<sup>2</sup>http://www.cs.huji.ac.il/~oabend/ucca/guidelines.pdf > < = > = <?</p>

#### Error Analysis

The participant category is used when adverbial should be.

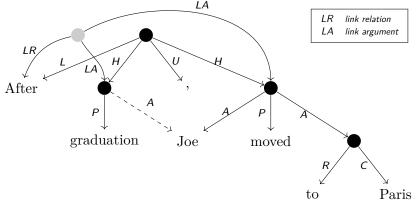


#### Future Work

#### Broad-Coverage UCCA Parsing

Already annotated in UCCA, but not yet handled by TUPA:

- Linkage: inter-scene relations (see example).
- Implicit units: units not mentioned at all in the text.
- Inter-sentence relations: discourse structure.



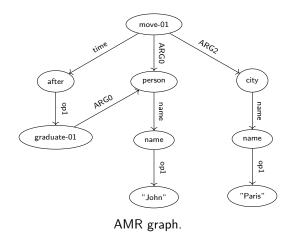
UCCA graph with a Linkage relation.

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#### AMR Parsing

Similar in structure and content, but poses several challenges:

- Node labels: not just edges, not also nodes are labeled.
- Partial alignment: orphan tokens, implicit concepts.



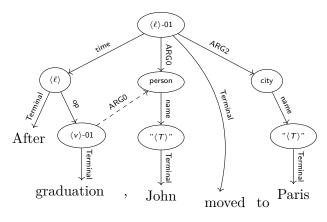
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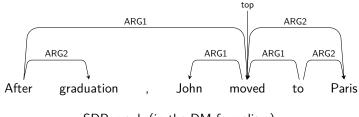


AMR graph in UCCA++ format.

#### Semantic Dependency Parsing

Similar structure, but without non-terminal nodes.

By applying bilexical conversion in reverse, TUPA can be used.

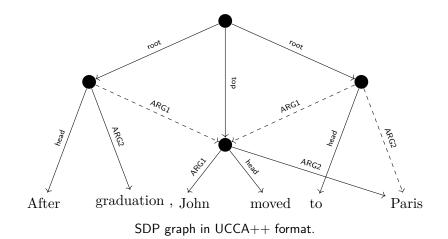


SDP graph (in the DM formalism).

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#### Conclusion

- UCCA's semantic distinctions require a graph structure including non-terminals, reentrancy and discontinuity.
- TUPA is an accurate transition-based UCCA parser, and the **first** to support UCCA and any DAG over the text tokens.
- Outperforms strong conversion-based baselines.

Code: github.com/danielhers/tupa Demo: bit.ly/tupademo Corpora: cs.huji.ac.il/~oabend/ucca.html

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Future Work:

- More languages (German corpus construction is underway).
- Broad coverage UCCA parsing.
- Parsing other schemes, such as AMR and SDP.
- Text simplification, MT evaluation and other applications.

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#### Thank you!

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# Backup

# UCCA Corpora

		Wiki		20K		
	Train	Dev	Test	Leagues		
# passages	300	34	33	154		
# sentences	4268	454	503	506		
# nodes	298,993	33,704	35,718	29,315		
% terminal	42.96	43.54	42.87	42.09		
% non-term.	58.33	57.60	58.35	60.01		
% discont.	0.54	0.53	0.44	0.81		
% reentrant	2.38	1.88	2.15	2.03		
# edges	287,914	32,460	34,336	27,749		
% primary	98.25	98.75	98.74	97.73		
% remote	1.75	1.25	1.26	2.27		
Average per non-terminal node						
# children	1.67	1.68	1.66	1.61		

Corpus statistics.

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#### Evaluation

Mutual edges between predicted graph  $G_p = (V_p, E_p, \ell_p)$  and gold graph  $G_g = (V_g, E_g, \ell_g)$ , both over terminals  $W = \{w_1, \ldots, w_n\}$ :

$$M(G_{p}, G_{g}) = \left\{ (e_{1}, e_{2}) \in E_{p} \times E_{g} \mid y(e_{1}) = y(e_{2}) \wedge \ell_{p}(e_{1}) = \ell_{g}(e_{2}) \right\}$$

The yield  $y(e) \subseteq W$  of an edge e = (u, v) in either graph is the set of terminals in W that are descendants of v.  $\ell$  is the edge label.

Labeled precision, recall and F-score are then defined as:

$$LP = \frac{|M(G_p, G_g)|}{|E_p|}, \quad LR = \frac{|M(G_p, G_g)|}{|E_g|},$$
$$LF = \frac{2 \cdot LP \cdot LR}{LP + LR}.$$

Two variants: one for primary edges, and another for remote edges.